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Page 1 of 1

#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,998	12/14/2001	Alan Rick Lappen	5130/CPS/IBSS/LP

CONFIRMATION NO. 2396

**FORMALITIES LETTER**



\*OC00000007311658\*

Applied Materials, Inc.  
Post Office Box 150A  
Santa Clara, CA 95052

REC'D JAN 22 2002

Date Mailed: 01/15/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1002.
  - \$414 for 23 total claims over 20.
  - \$588 for 7 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1872.**

03/26/2002 BABRAHA1 00000110 501074 10021998

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	414.00 CH
04 FC:102	588.00 CH

**A copy of this notice MUST be returned with the reply.**

*O.g.*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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005130 USA/CPS/IBSS  
Page 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Alan Rick LAPPEN et al

Assignee:

Applied Materials, Inc.

Title:

Method And Apparatus For Alignment Of Carriers, Carrier Handlers And Semiconductor Handling Equipment

Serial No.:

10/021,998

Filed:

12/14/2001

Group Art Unit:

3723

Examiner:

Unknown

Atty. Docket:

005130 USA/CPS/IBSS

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Attention: Manager, Application Division

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on 1/15/2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. Combined Declaration and Power of Attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (3 pages)
2. Copy of "Notice to File Missing Parts of Application - Filing Date Granted" (Form PTO-1533)

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 50-1074 (a duplicate of this document is enclosed):

x	Filing Fee - Original Patent Application [37 CFR 1.16(a)] (\$740.00)	740.00
x	Fees for Claims:	0
x	each independent claim in excess of 3 [37 CFR 1.16(f)] (\$84.00)	588.00
x	each claim in excess of 20 [37 CFR 1.16(c)] (\$18.00)	414.00
	each multiple dependent claim(s) [37 CFR 1.16(d)] (\$280.00)	0
	SUB_TOTAL	1742.00

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee (for other than small entity)</u>
<input type="checkbox"/> 1 month	\$110.00
<input type="checkbox"/> 2 months	\$400.00
<input type="checkbox"/> 3 months	\$920.00
<input type="checkbox"/> 4 months	\$1,440.00

If an additional extension of time is required please consider this a petition therefor.

☒ Late filing Fee \$130.00

Total fees: \$1872.00

- ☒ The Commissioner is hereby authorized to charge \$1872.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of **12/14/2001**. Please telephone the Susana Antunes at (408)-986-3923 if there are any questions regarding this matter.

APPLIED MATERIALS, INC.  
Legal Affairs Department  
3050 Bowers Ave., MS/2634  
Santa Clara, CA. 95054

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on* 3-11-02

*Susana Antunes*

Respectfully submitted,

Joseph Bach  
Attorney for Applicant  
Registration No. 37,771